

11-28-01

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Practitioner's Docket No. 939\_034

PATENT

## NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, VA 22202** on *November 27, 2001* under "EXPRESS MAIL" mailing label number **EL650149275US**.

*Elizabeth A. VanAntwerp*  
Elizabeth A. VanAntwerp

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor 1: Akira MATSUMOTO  
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Nagoya, Aichi-Prefecture 467-8530  
Japan

Inventor 2: Masashi FUKUYAMA  
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Inventor 3: Akiyoshi IDE  
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Japan

Title: A GLASS-FORMING MOLD AND A MANUFACTURING METHOD  
THEREOF

**1. Papers enclosed****A. Required for filing date under 37 CFR 1.53(b) (Regular) are:**

- 10 Page(s) of Specification
- 1 Page(s) of Claims
- 1 Page(s) of Abstract
- 3 Sheets of Formal Drawings (Figs. 1-4)

**B. Other Papers Enclosed**

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

11/27/01  
JC769 U.S. PTO

09/994369  
11/27/01

JC950 U.S. PTO  
09/994369  
11/27/01

**2. Additional Papers to Follow Under Separate Cover**

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and certified copies of:
  - Japanese Application 2000-365792 filed November 30, 2000 and
  - Japanese Application 2001-341030 filed November 6, 2001
- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.  
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Japan

**3. The correspondence address for this application is:** Customer No.: 025191

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**4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)**

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not be** published under 35 U.S.C. 122(b).

**5. Small Entity Status**

- ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

For filing in 35 U.S.C. 122(b)(2)(B)(i)

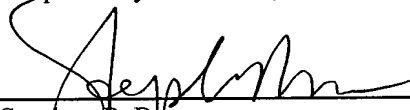
**6. The filing fee has been calculated as shown below:**

- A. Filing Fee  
☒ Original Patent Application \$ 740.00  
(37 C.F.R. 1.16(a) --\$740.00; Small Entity--\$370.00)
- B. Fees for Claims (7 Claims; 2 Indep.)  
☐ each independent claim in excess of 3 \$ .00  
(37 C.F.R. 1.16(b)--\$84.00; small entity--\$42.00)  
☐ each claim in excess of 20 \$ .00  
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)  
☐ multiple dependent claim(s) \$ .00  
(37 C.F.R. 1.16(d)--\$280.00; small entity--\$140.00)
- C. Surcharge fees  
☒ late payment of filing fee \$ 130.00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath \$ .00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).*
- D. ☐ Fee for processing an application filed with a specification in a non-English language \$ .00  
(37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)
- E. ☒ Assignment Fee \$ 40.00
- Total Fees Due \$ 910.00**

**7. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
 \_\_\_\_\_  
 Stephen P. Burr  
 Reg. No. 32,470

November 27, 2001  
 \_\_\_\_\_  
 Date

SPB/eav

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